

Pre-training Models

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Outline

- Overview of pre-training
- Taxonomy of pre-training: context vs contrast
- More discussion about pre-training
- Summary



Pre-training

- What is pre-training?
 - The training in advance of standard training
- Why pre-training?
 - The standard training (data/model size) is not enough
- What to learn in pre-training?
 - Representation learning: more general, self-supervised
 - Task learning: more task specific, supervised
- When and where to apply pre-training?
 - Any tasks that data/model size are not enough
 - NLP, CV, Speech, and more

• How to learn in pre-training?



Pre-training in NLP

• Pre-training + Fine-tuning, a new paradigm of NLP





Pre-training in NLP







Pre-training in NLP



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Pre-training in CV——Self-supervised



Top 1 accuracy on ImageNet with self-supervised pre-training

Pre-training in CV——Supervised/Semi-supervised

lank	Model	Top 1 🕇 Accuracy	Top 5 Accuracy	Number of params	Training Data	Code	Result	Year
1	Meta Pseudo Labels (EfficientNet-L2) Meta Pseudo Labels	90.2%	98.8%	480M	\checkmark	0	Ð	2021
2	Meta Pseudo Labels (EfficientNet-B6-Wide) Meta Pseudo Labels	90%	98.7%	390M	\checkmark	0	Ð	2021
3	NFNet-F4+ High-Performance Large-Scale Image Recognition Without Normalization 	89.2%		527M	\checkmark	0	Ð	2021
4	ALIGN (EfficientNet-L2) C Scaling Up Visual and Vision-Language Representation Learning With Noisy Text Supervision	88.64%	98.67%	480M	\checkmark	0	Ð	2021
5	EfficientNet-L2-475 (SAM) Sharpness-Aware Minimization for Efficiently Improving Generalization	88.61%		480M	\checkmark	0	Ð	2020
6	ViT-H/14 An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale	88.55%		632M	\checkmark	0	Ð	2020
7	FixEfficientNet-L2 D Fixing the train-test resolution discrepancy: FixEfficientNet	88.5%	98.7%	480M	\checkmark	0	Ð	2020
8	NoisyStudent (EfficientNet-L2) Self-training with Noisy Student improves ImageNet classification	88.4%	98.7%	480M	\checkmark	0	÷	2020
9	Mixer-H/14 (JFT-300M pre-train) MLP-Mixer: An all-MLP Architecture for Vision	87.94%			\checkmark	0	Ð	2021
10	ViT-L/16 An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale	87.76%		307M	\checkmark	0	÷Ð	2020

Top 1 accuracy on ImageNet with supervised/semi-supervised pre-training

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Pre-training in Speech



SOTA WER on LibriSpeech with self-supervised and semi-supervised training



Pre-training in Speech

Rank	Model	Word Error Rate 👃 (WER)	Extra Training Data	Code	Result	Year
1	Conformer + Wav2vec 2.0 + SpecAugment-based Noisy Student Training with Libri-Light Pushing the Limits of Semi-Supervised Learning for Automatic Speech Recognition	1.4	\checkmark		Ð	2020
2	Conv + Transformer + wav2vec2.0 + pseudo labeling Self-training and Pre-training are Complementary for Speech Recognition	1.5	\checkmark	0	Ð	2020
3	ContextNet + SpecAugment-based Noisy Student Training with Libri-Light Improved Noisy Student Training for Automatic Speech Recognition	1.7	\checkmark		Ð	2020
4	SpeechStew (1B) SpeechStew: Simply Mix All Available Speech Recognition Data to Train One Large Neural Network	1.7	×		Ð	2021
5	Multistream CNN with Self-Attentive SRU ASAPP-ASR: Multistream CNN and Self-Attentive SRU for SOTA Speech Recognition	1.75	×		Ð	2020
6	wav2vec 2.0 with Libri-Light ⓑ wav2vec 2.0: A Framework for Self-Supervised Learning of Speech Representations	1.8	\checkmark	0	Ð	2020
7	ContextNet (L) ContextNet: Improving Convolutional Neural Networks for Automatic Speech Recognition with Global Context	1.9	×	0	Ð	2020
8	Conformer (L) Conformer: Convolution-augmented Transformer for Speech Recognition	1.9	×	0	Ð	2020

SOTA WER on LibriSpeech with self-supervised and semi-supervised training



How to learn in pre-training

- Learning paradigm
 - Supervised learning
 - Unsupervised learning
 - Semi-supervised learning
 - Reinforcement learning
 - Transfer learning
 - Self-supervised learning
- Pre-training
 - In this talk, we focus more on self-supervised learning
 - Context based and contrast based





Context based vs Contrast based

- Context based
 - Autoregressive Language Model (LM): ELMo [3], GPT-1/2/3 [4,5,6]
 - Denoising Auto-Encoder (DAE): MLM (BERT[7], RoBERTa[9], ERNIE[21,23], UniLM[14], XLM [15]), Seq2SeqMLM (MASS [11], T5 [17], ProphetNet [43], BART[12])
 - Permuted Language Model (PLM): XLNet [10], MPNet [27]
- Contrast based
 - Context-Instance Contrast
 - Predict Relative Position (PRP): Jigsaw, Rotation Angle [45], Sentence Order Prediction (ALBERT [19], StructBERT [20])
 - Maximize Mutual Information (MI): Deep InfoMax/InforWord [28], AMDIM [29], Contrastive Predictive Coding [30] (wav2vec [41,42]), Replaced Token Detection (word2vec [1], ELECTRA[18])
 - Context-Context Contrast
- DeepCluster [32], CMC [31], MoCo [34,37], SimCLR [35,38], BYOL [36], Next Sentence Prediction (BERT [7])



Context based: LM

• Language model
$$\mathcal{L}_{LM} = -\sum_{t=1}^{T} \log p(x_t | \mathbf{x}_{< t})$$

- Natural, Joint probability estimation
- Left to right, no bidirectional context information
- ELMo [3], GPT [4], GPT-2 [5], GPT-3 [6]
 - ELMo: NAACL 2018 best paper, GPT-3: NeurIPS 2020 best paper
 - GPT-3 has 175 billion parameters, the largest model before (1.7 Trillion, Switch Transformer [46])







Context based: DAE

- Denoising Auto-Encoder
 - DAE: Noisy Input, reconstruct whole clean input
 - MLM: Noisy Input (with mask tokens), reconstruct mask tokens $\mathcal{L}_{MLM} = -\sum \log p(\hat{x}|\mathbf{x}_{m(\mathbf{x})})$

 $\mathcal{L}_{\text{DAE}} = -\sum_{t=1}^{t} \log p(x_t | \hat{\mathbf{x}}, \mathbf{x}_{< t})$

- Seq2SeqMLM: Noisy Input (with mask tokens), reconstruct mask tokens, with encoder-decoder framework $\mathcal{L}_{S2SMLM} = -\sum_{t=i}^{j} \log p(x_t | \mathbf{x}_{\setminus \mathbf{x}_{i:j}}, \mathbf{x}_{i:t-1})$
- BERT [7], MASS [11], RoBERTa [9], XLM [15], ERNIE [21,23], UniLM [14], ProphetNet [43], T5 [17], BART [12]



Context based: MLM——BERT

• BERT [7]: Bidirectional transformer, vs GPT [4,5,6], ELMo [3]





Context based: MLM——BERT

• BERT [7]: Bidirectional transformer





Context based: Seq2SeqMLM——MASS

- MASS: MAsked Sequence to Sequence pre-training [11]
 - MASS is carefully designed to jointly pre-train the encoder and decoder



- Mask k consecutive tokens (a sentence segment)
 - Force the decoder to attend on the source representations, i.e., encoder-decoder attention.
 - Force the encoder to extract meaningful information from the sentence.
 - Develop the decoder with the ability of language modeling.

[11] Kaitao Song, Xu Tan, Tao Qin, Jianfeng Lu, Tie-Yan Liu. MASS: Masked sequence to sequence pre-training for language generation. ICML 2019



Context based: PLM

- Permuted Language Model $\mathcal{L}_{PLM} = -\sum_{t=1}^{T} \log p(z_t | \mathbf{z}_{< t})$
 - A generalized autoregressive language model, random permute the sentence order, better use language model for pre-training
 - Combine the advantages of LM and MLM
 - LM: only left context, MLM: bidirectional context
 - LM: conditional dependent, MLM: conditional independent
- XLNet [10], MPNet [27]

[10] Zhilin Yang, Zihang Dai, Yiming Yang, Jaime Carbonell, Russ R Salakhutdinov, and Quoc V Le. XLNet: Generalized autoregressive pretraining for language understanding. In NeurIPS, pages 5754–5764, 2019.
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- Key designs in XLNet
 - Autoregressive model, use permuted language model (PLM) to introduce bidirectional context
 - Two-stream self-attention to decide the position of next predicted token
 - Use Transformer-XL to incorporate long context



$$\log P(x;\theta) = \mathbb{E}_{z \in \mathcal{Z}_n} \sum_{t=c+1}^n \log P(x_{z_t} | x_{z_{< t}}; \theta)$$



• Two-stream self-attention

2021/01/25

- Content stream: build content hidden, same as GPT/BERT in Transformer
- Query stream: token prediction, use position as input to decide which token to predict

 $g_{z_t}^{(m)} \leftarrow \text{Attention}(\mathbf{Q} = g_{z_t}^{(m-1)}, \mathbf{KV} = \mathbf{h}_{\mathbf{z}_{< t}}^{(m-1)}; \theta), \quad (\text{query stream: use } z_t \text{ but cannot see } x_{z_t})$

 $h_{z_t}^{(m)} \leftarrow \text{Attention}(\mathbf{Q} = h_{z_t}^{(m-1)}, \mathbf{KV} = \mathbf{h}_{\mathbf{z}_{< t}}^{(m-1)}; \theta), \text{ (content stream: use both } z_t \text{ and } x_{z_t}).$





- Transformer-XL
 - Recurrence mechanism: cache and reuse the representation of previous segment

$$h_{z_t}^{(m)} \leftarrow \text{Attention}(\mathbf{Q} = h_{z_t}^{(m-1)}, \mathbf{KV} = \left[\tilde{\mathbf{h}}^{(m-1)}, \mathbf{h}_{\mathbf{z}_{\leq t}}^{(m-1)}\right]; \theta)$$

- Relative position embedding
 - Do not care the absolute position, but only relative position

$$\mathbf{A}_{i,j}^{\text{abs}} = \underbrace{\mathbf{E}_{x_i}^{\top} \mathbf{W}_q^{\top} \mathbf{W}_k \mathbf{E}_{x_j}}_{(a)} + \underbrace{\mathbf{E}_{x_i}^{\top} \mathbf{W}_q^{\top} \mathbf{W}_k \mathbf{U}_j}_{(b)} \qquad \mathbf{A}_{i,j}^{\text{rel}} = \underbrace{\mathbf{E}_{x_i}^{\top} \mathbf{W}_q^{\top} \mathbf{W}_{k,E} \mathbf{E}_{x_j}}_{(a)} + \underbrace{\mathbf{E}_{x_i}^{\top} \mathbf{W}_q^{\top} \mathbf{W}_{k,R} \mathbf{R}_{i-j}}_{(b)} + \underbrace{\mathbf{U}_i^{\top} \mathbf{W}_q^{\top} \mathbf{W}_k \mathbf{U}_j}_{(c)} + \underbrace{\mathbf{U}_i^{\top} \mathbf{W}_k \mathbf{E}_{x_j}}_{(c)} + \underbrace{\mathbf{U}_i^{\top} \mathbf{W}_q^{\top} \mathbf{W}_k \mathbf{U}_j}_{(c)} + \underbrace{\mathbf{U}_i^{\top} \mathbf{W}_k \mathbf{E}_{x_j}}_{(c)} + \underbrace{\mathbf{U}_i^{\top} \mathbf{W}_k \mathbf{U}_j \mathbf{U}_k \mathbf{U}_j}_{(c)} + \underbrace{\mathbf{U}_i^{\top} \mathbf{W}_k \mathbf{U}_j \mathbf{U}_k \mathbf{U}_j}_{(c)} + \underbrace{\mathbf{U}_i^{\top} \mathbf{W}_k \mathbf{U}_j \mathbf{U}_j}$$



- The advantage of XLNet (the task is sentence classification)
 - Bidirectional context, vs GPT

Objective	Modeling
LM (GPT)	log P(is the task)
PLM (XLNet)	log P(is the task) + log P(is sentence classification)

• Dependency between predicted tokens, vs BERT

Objective	Modeling
MLM (BERT)	log P(sentence the task is) + log P(classification the task is)
PLM (XLNet)	log P(sentence the task is) + log P(classification the task is sentence)



- The pros and cons of BERT and XLNet
 - "the task is sentence classification", predict token "sentence" and "classification"



- Two aspects
 - Output dependency: dependency among the masked/predicted tokens
 - Input consistency: position information between pre-training and fine-tuning





^{2021/01/25} MPNet: inherit their advantages but avoid their limitations



- Autoregressive prediction (avoid the limitation in BERT)
 - Each predicted token condition on previous predicted tokens to ensure **output dependency**
- Position compensation (avoid the limitation in XLNet)
 - Each predicted token can see full position information to ensure input consistency



2021/01/25



• The advantages of MPNet

Objective	Modeling
MLM (BERT)	log P(sentence the task is [M] [M]) + log P(classification the task is [M] [M])
PLM (XLNet)	log P(sentence the task is) + log P(classification the task is sentence)
MPNet	log P(sentence the task is [M] [M]) + log P(classification the task is sentence [M])

- Position compensation, input consistency, vs. PLM (XLNet)
 - MPNet knows 2 tokens to predict, instead of 3 tokens like "sentence pair classification"
- Autoregressive prediction, output dependency, vs. MLM (BERT)
 - MPNet can better predict "classification" given previous token "sentence", instead of predicting "answering" as if to predict "question answering"



• The advantages of MPNet

Objective	Modeling
MLM (BERT)	log P(sentence the task is [M] [M]) + log P(classification the task is [M] [M])
PLM (XLNet)	log P(sentence the task is) + log P(classification the task is sentence)
MPNet	log P(sentence the task is [M] [M]) + log P(classification the task is sentence [M])

• How much conditional information is used on average to predict a masked token? (assume all objectives mask and predict 15% tokens)

	Objective	Formulation	#Tokens	#Positions	
	MLM (BERT)	$\sum_{t=-1}^{n} \log P(x_{z_t} x_{z_{<=c}}, M_{z_{>c}}; \theta)$	85%	100%	Inherit their advantages
	PLM (XLNet)	$\sum_{t=c+1}^{n} \log P(x_{z_t} x_{z_{< t}};\theta)$	92.5%	92.5%	Avoid their limitations
	MPNet	$\sum_{t=c+1}^{n} \log P(x_{z_t} x_{z_{< t}}, M_{z_{> c}}; \theta)$	92.5%	100%	
2021/01/25		MPNet uses the most information to predict tokens			28





Context based vs Contrast based

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Contrast based

- Context-Instance Contrast
 - Predict Relative Position (PRP): Jigsaw, Rotation Angle [45], Sentence Order Prediction (ALBERT [19], StructBERT [20])
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Contrast based

- Basic idea: learn from contrast
 - Tell what is, and tell what is not

$$\mathcal{L}_N = -\mathbb{E}_{x,y+,y-} \left[\log rac{\exp(s\left(x,y^+
ight))}{\exp(s(x,y^+)) + \sum_{j=1}^{N-1} \expig(s(x,y_j^-)ig)}
ight]$$

- Different contrast granularities
 - Context-Instance Contrast
 - Context-Context Contrast



- Context-Instance Contrast: Global-local contrast: the local feature of a sample and its global context representation
 - Patches to their image, sentences to their paragraph, words to their sentence, and nodes to their neighborhoods.

$$\mathcal{L}_N = -\mathbb{E}_{x,y+,y-} \left[\log rac{\exp(s\left(x,y^+
ight))}{\exp(s(x,y^+)) + \sum_{j=1}^{N-1} \expig(s(x,y_j^-)ig)}
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- Predict Relative Position (PRP): Jigsaw, Rotation Angle [45], Sentence Order Prediction (ALBERT [19], StructBERT [20])
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- Predict Relative Position (PRP)
 - Jigsaw, rotation angle, relative position [45]









Rotation





- Predict Relative Position (PRP)
 - Next Sentence Prediction (BERT [7])
 - Sentence Order Prediction (ALBERT[19], StructBERT [20])





- Maximize Mutual Information (MI)
 - Deep InfoMax/InfoWord [28], AMDIM [29]



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- Maximize Mutual Information (MI)
 - Contrastive Predictive Coding [30]









• Maximize Mutual Information (MI) • Replaced Token Detection (word2vec [1], ELECTRA [18]) $\mathcal{L}_{\text{RTD}} = -\sum_{t=1}^{T} \log p(y_t | \hat{\mathbf{x}})$ $\mathcal{L}_{\text{Disc}}(\mathbf{x}, \theta_D) = \mathbb{E}\left(\sum_{t=1}^{n} -\mathbb{1}(x_t^{\text{corrupt}} = x_t) \log D(\mathbf{x}^{\text{corrupt}}, t) - \mathbb{1}(x_t^{\text{corrupt}} \neq x_t) \log(1 - D(\mathbf{x}^{\text{corrupt}}, t))\right)$





- Context-Context Contrast: the relationships between the global representations of different samples
 - Cluster-based Discrimination: DeepCluster [32]
 - Instance Discrimination: CMC [31], MoCo [34,37], SimCLR [35,38], BYOL [36]



• Cluster-based Discrimination: DeepCluster [32], Local Aggregation [33]





• Instance Discrimination: Contrastive Multiview Coding (CMC) [31]



Matching views



• Instance Discrimination: Momentum Contrast for Unsupervised Visual Representation Learning (MoCo) [34,37]





• Instance Discrimination: A Simple Framework for Contrastive Learning of Visual Representations (SimCLR) [35,38]





• Instance Discrimination: Bootstrap Your Own Latent A New Approach to Self-Supervised Learning (BYOL) [36]





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- DeepCluster [32], CMC [31], MoCo [34,37], SimCLR [35,38], BYOL [36], Next Sentence Prediction (BERT [7]) 45



How to use pre-training for downstream tasks?

- Choose pre-training task, model structure, data in pre-training
- In fine-tuning
 - Feature incorporation or fine-tunning
 - What to fine-tune? Embedding, partial layers, whole model
 - Different fine-tuning stages, layer-wise fine-tuning
 - Extra fine-tuning adaptors
- Reduce the gap between pre-training and fine-tuning
 - Different pre-training tasks for different downstream tasks
 - Make the data and model consistency with downstream tasks
 - Joint pre-training and fine-tuning



How to use pre-training for downstream tasks?

- Different pre-training tasks for different downstream tasks
 - CoLA: The Corpus of Linguistic Acceptability, prefer ELECTRA
 - RTE, MNLI, QNLI: prefer sentence pair pre-training, such as SOP
 - NER: prefer non-degeneration output hidden, BERT instead of ELECTRA
 - SQuAD: prefer span based prediction, span mask
 - NMT, text summarization: prefer seq2seqMLM or conditional sequence generation



How to use pre-training for downstream tasks?

- Compress the pre-trained model for practical deployment
 - Pruning: Compressing BERT [47], LayerDrop [48]
 - Quantization: Q-BERT [49], Q8BERT [50]
 - Parameter sharing: ALBERT[19]
 - Knowledge distillation: DistilBERT [51], TinyBERT [52], LightPAFF [53], BERT-PKD [54], MobileBERT [55], MiniLM [56], DynaBERT [57]
 - Neural architecture search: AdaBERT [58], NAS-BERT [59]



Comparison between pre-trained models for NLP, CV and Speech

- Model size and data size
 - Image: SimCLRv2/800M/300M images, DALL-E/12B/250M image-text pairs,
 - Speech: (Conformer + Wav2vec 2.0)/1B/60K hours speech data
 - NLP: GPT-3/175B/400B tokens → Switch Transformers/1.6 Trillion/180B tokens
- Context-based or Contrast-based?
 - Image, speech, more contrast based
 - NLP, more context-based
- Perception vs Cognition
 - Image, speech is more like perception
 - NLP is more like cognition





Summary of this course

- Overview of pre-training in NLP, CV and Speech
- Taxonomy of self-supervised based pre-training
 - Context based
 - Contrast based
- More discussion about pre-training
 - How to use for down-streaming tasks
 - Comparison between NLP, CV and Speech



Thank You!

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