Collaboration in relation to Human-AI Systems: Status, Trends, and Impact

António Correia^{*1,2,3} and Siân Lindley¹ ¹ Microsoft Research, Cambridge, UK ² INESC TEC and University of Trás-os-Montes e Alto Douro, Vila Real, Portugal ³ College of Information Science & Technology, University of Nebraska at Omaha, USA ^{*} Corresponding author. Email address: t-acorreia@microsoft.com

Abstract—In this paper we present findings from a bibliometric evaluation of scientific publications on human-AI systems, indexed in the Dimensions database over the past five years (2018 to 2022). The study maps the research landscape in this burgeoning area, as it relates to the topic of collaboration. To this end, we assessed publication and citation counts over time, authorship-level indicators, and keyword occurrence frequency. We also examined funding information as an indicator of research priorities, alongside usage-based statistics and alternative metrics such as social media mentions, recommendations, and reads. Our preliminary findings highlight a significant focus on aspects like trust, explainability, transparency, and autonomy in highly complex scenarios through the use of generative models and hybrid interaction techniques. The results also reveal a growth in the number of publications and funding grants, although a certain lack of maturity is observable in terms of citation patterns and coherence of thematic clusters.

Keywords—altmetrics, artificial intelligence, autonomy, emerging topics detection, explainability, funding, generative models, human-AI systems, scientometrics, transparency, trust

I. INTRODUCTION AND BACKGROUND

The past few years have seen an increasing focus on systems with human-Artificial Intelligence (AI) components in both academia and industry. Research is increasingly focusing on the experience of using, working, and living with intelligent systems, and on how interactions between humans and algorithms both alter those algorithms and contribute to a changing experience for the user. With application areas including games [2], co-creativity [3], healthcare [31], human resource management [4], and military-patented technologies [26], researchers, practitioners and policy-makers worldwide are focusing on human-AI systems in their many potential uses. Results from this work range from the development of guidelines for human-AI interaction [5], to the application of concepts such as human-autonomy teaming to describe particular configurations of humans and algorithms [6, 8]. Such results highlight both the opportunities and challenges in this emerging area. On the one hand, human-AI interaction offers new possibilities for interactive systems design. On the other, it raises challenges for interaction and for experience, including in relation to control [9] and transparency [10].

In this paper, we present a bibliometric exploration of research on systems with human-AI components. To the best of our knowledge, this is the first study to do this. As human-AI interaction is a broad field, we focus on research that also addresses collaboration, be this between humans and AI, or amongst humans who are using intelligent systems. Our aim is to gain an overview of this emerging body of research, to understand who is contributing knowledge to this area, how it is funded, and what the key interests and foci are. Collaboration is of interest because the application of AI in collaborative settings raises implications for the design of human-AI interactions, how these are made visible and intelligible to users, and how they draw on, diverge from or have the potential to disrupt, the ways in which humans interact with one another.

In its most general form, bibliometrics is concerned with the quantitative assessment and inter-comparison of scientific activity by means of productivity indicators and evolution patterns [11]. This conceptual standpoint overlaps to a great extent with the aim of scientometrics and its underlying role in revealing the characteristics of scientific phenomena using quantitative data. Through a research policy lens, the outcomes of a bibliometric study can be of practical utility for science policy-makers and managers in a way that can aid evidence-based practice and inform future endeavours. As an example, recent studies have sought to unpack the structure of knowledge production in the field of AI as applied to customer relationship management [13], branding [14], and public sector [15] applications. In the literature, we can also find work providing scientometric portraits of research output in fields like knowledge transfer [16], information systems [17], and Human-Computer Interaction (HCI) [18]. Concerning the latter, some authors have provided analyses at the country or regional level (e.g., [19]), while others have explored specific subjects (e.g., [34]), subfields (e.g., [21, 22]), and venues (e.g., [23-25]). Our study contributes to this corpus of knowledge by presenting a quantitative assessment of the burgeoning field of human-AI systems in relation to collaboration, as a basis for understanding scientific developments in this space. To this end, we address the following research questions (RQs):

RQ1. What are the interests of researchers and practitioners working in the area of human-AI systems in relation to collaboration?

RQ2. How do different venues contribute to the knowledge in this emerging domain? Does the rate and scope of scientific production and diffusion differ from other areas?

RQ3. What are funding priorities in this area? Is a significant investment made by funding agencies and government departments?

To shed light on these questions, we profiled research activity patterns using scientometric techniques. We examine qualitative and quantitative aspects of global scientific outputs, and scope future perspectives.

II. THEORETICAL MODEL AND METHODOLOGY

From human-agent teams [28], to blockchain [29], to human-AI scientific teamwork at crowd scale [30, 45], the study of systems combining human and artificial intelligence to support cooperative and coordinative activities, or even to mediate communication [47], is gaining momentum. As technological innovations in the human-AI design space continue apace, there is a clear need to explore what this entails for collaboration, both between humans, and between humans and AI.

A. Derivation of Study Material

As a multi-faceted topic of study, we observe that the literature on human-AI systems is distributed over a wide variety of venues. Therefore, we opted to use a simple Boolean query to identify potentially relevant studies instead of selecting a predefined list of sources that could limit our bibliometric analysis. This strategy has proved effective for collecting publication data in previous studies (e.g., [34]). Fig. 1 presents a flow diagram of the literature search and selection processes based on PRISMA guidelines [35].



Figure 1. The PRISMA flow diagram of the sample selection process.

A five-year publication window covering outputs from the 2018-2022 period was selected to capture the most recent developments in this area. To fulfil the goals of this work, we used the Dimensions database due to its free access and large coverage when compared to Scopus and Web of Science [36]. In addition, this database also provides information about patents, policy documents, and grants [37]. Combining the search terms "human-AI" and "collaboration" in the fulltext allowed us to capture a total of 1,383 studies. Likewise, a complementary search strategy combining hand-search and snowballing was used to get an additional set of 114 papers.

B. Sample Selection and Data Extraction

In this study, we followed Veitch and Alsos's [38] criteria for literature selection by considering journal articles and conference proceedings (including workshop papers) written in the English language and with a digital object identifier (DOI). We did not include non-peer reviewed documents such as monographs, books and book chapters, keynote talks, tutorials, panels, and preprints. In line with this exclusion criterion, we also removed papers with less than three pages to ensure consistency. Nonetheless, we did not make a distinction between full and short papers in order to capture a representative sample of scientific knowledge dissemination during the past few years.

Sample material was included that could address the RQs stated at the beginning of the paper, and was therefore limited to papers with keyword data and funding information as captured by Dimensions. We did not, therefore, consider conference papers where the template does not include keywords (e.g., HICSS – Hawaii International Conference on System Sciences). We retrieved various types of keyword information and quantitative indicators of the scientific activity in this area along with other alternative metrics (altmetrics) provided by social network services [39]. We entered the following data for every paper into a spreadsheet:

- 1. Title
- 2. Year of publication
- 3. Source
- 4. Number of authors

5. Total number of citations (including patent and policy document citations)

- 6. Number of references
- 7. Recommendations and reads on ResearchGate
- 8. Supporting grants and funding agencies

9. Altmetrics (i.e., tweets, news outlets, blogs, Mendeley readers, Wikipedia pages, Redditors, Facebook pages, videos, patents, policy sources, and peer review sites)

10. Geographical and demographic breakdown of tweets and Mendeley reads

11. Keywords

We finished our literature search on September 10, 2022. The metrics were manually collected and then computed using simple functions. During the phase of study selection, we normalized the data and proceeded with the duplicate removal. After applying the inclusion and exclusion criteria, a total of 673 records were included for analysis. The final sample comprised a total of 218 papers from ACM Digital Library, 94 papers from Elsevier, 140 papers from Springer, and 65 papers from IEEE Xplore. Furthermore, we also included papers from PubMed (32), Emerald (17), Taylor & Francis (33), SAGE (17), ACL Anthology (2), AIS eLibrary (4), BMJ (1), Frontiers (9), MDPI (19), Oxford Academic (7), Cardiff University Press (2), OJS (1), and Wiley Online Library (12). We believe that our sample¹ is reasonably representative of the current state of research in this area since it covers the main publishers and allows us to retrieve enough metrics to reach results that are statistically valid and capable of identifying different approaches to studying collaboration in relation to human-AI systems.

III. RESULTS AND DISCUSSSION

In this section we present and discuss the results obtained from our bibliometric analysis. As mentioned before, a set of

¹ The complete list of publications used for computing the statistics presented in this study can be found at: https://docs.google.com/spreadsheets/d/11_pMSwuJ1NtUrr3g5wow1_M5lQv6lm20/edit?usp=sharing&ouid= 105119833142564487394kTtpof=true&-d=true.

quantitative data analysis methods was used to calculate the distribution of publications, authors, citations, references, and keywords. We also explored alternative metrics of scientific dissemination as a way of capturing the diffusion indicators in this area, as illustrated in Table I. At the initial stage of this research, we found a significant growth in the total number of publications for the 2018-2022 period. For instance, there was a 128.9% growth when considering the year 2021. Nonetheless, it is important to interpret these indicators with caution since they are not representative of the entire intersectional space of human-AI interaction. Consequently it is critical to follow and understand the longitudinal evolution of the publication rate in this field to get a more accurate picture of the distribution of scholarly production.

TABLE I. OVERALL STATISTICS OF THE SCIENTIFIC PUBLICATION OUTCOMES IN THE INTERSECTIONAL COMBINATION OF HUMAN-AI SYSTEMS AND COLLABORATION.



A. Citation Analysis

The total number of citations captured in Dimensions for the entire dataset is 6,118, with an average of 9.1 citations per paper. However, we only found 61 papers with more than 15 citation counts. As shown in Fig. 2, citations increased faster from 2018 (9.84%) to 2020 (46.32%), which is a meaningful indicator of stability and regularity as measured by the quality of the scientific outputs.



Figure 2. Box plot showing the distribution of the highest and lowest values for citations during the five-year period of analysis (2018-2022).

Indeed, the steady decrease that is also observed in Fig. 2 for the publication window from 2021 to 2022 does not affect the forecasting of development and evolution of science in this field, since the citation behavior is largely impacted by the temporal element encapsulated in the age of each paper [40]. Thus, we can foresee that future research can be concentrated on the exploration of novel human-AI systems as they gradually come to the fore. In relation to RQ1, the two papers that received patent citations in 2020 focused on the use of adaptive trust calibration [43] and local explanations for tree-based models [44]. Moreover, the policy document citation activity was mainly on healthcare practices by proposing guidelines for human-AI clinical trials [48] and exploring the use of AI-supported techniques for skin cancer detection [46] and diabetes diagnosis [20] based on image analysis and eye tracking, respectively. This is also in line with the main focus of the top-cited papers listed in Table II since most of these publications address some type of problem or provide evidence of the value of human-AI systems in a medical or organizational setting.

TABLE II. TOP-CITED PAPERS AND THEIR CITATION FREQUENCY.

			Ch	ations
Rank	Title	Year Source	Dimensions	Web of Science
1	From local explanations to global understanding with explainable AI for trees	2020 Nat Mach Intell	1181	866
2	Artificial intelligence and the future of work: Human-Al symbiosis in organizational decision making	2018 Bus Horiz	406	283
3	Guidelines for human-Al interaction	2019 CHI	360	
4	Human-computer collaboration for skin cancer recognition	2020 Nat Med	184	143
5	A human-centered evaluation of a deep learning system deployed in clinics for the detection of diabetic retinopathy	2020 CHI	164	257
6	Questioning the AI: Informing Design Practices for Explainable AI User Experiences	2020 CHI	153	
7	The feeling economy: Managing in the next generation of artificial intelligence (AI)	2019 Calif Manage Rev	132	95
8	The effects of explainability and causability on perception, trust, and acceptance: Implications for explainable AI	2021 UHCS	132	101
9	"Hello AI": uncovering the onboarding needs of medical practitioners for human-AI collaborative decision-making	2019 PACMHCI	121	
10	Industry 5.0: A survey on enabling technologies and potential applications	2022 J Ind Inf Integr	118	74
11	Machines as teammates: A research agenda on Al in team collaboration	2020 Inf Manag	118	87
12	Organizational decision-making structures in the age of artificial intelligence	2019 Calif Manage Rev	114	74
13	Artificial intelligence and human trust in healthcare: focus on clinicians	2020 JMIR	106	80
14	Re-examining whether, why, and how human-Al interaction is uniquely difficult to design	2020 CHI	99	
15	Rise of machine agency: A framework for studying the psychology of human-Al interaction (HAII)	2020 JCMC	97	76
16	Guidelines for clinical trial protocols for interventions involving artificial intelligence: the SPIRIT-AI extension	2020 Nat Med	76	72
17	Human-ai collaboration in data science: Exploring data scientists' perceptions of automated ai	2019 PACMHCI	71	
18	I lead, you help but only with enough details: Understanding user experience of co-creation with artificial intelligence	2018 CHI	68	
19	Rising with the machines: A sociotechnical framework for bringing artificial intelligence into the organization	2020 J Bus Res	66	51

B. Authorship and Collaboration Patterns

The structure and dynamics of scientific collaboration in a field constitute a central object of bibliometric scrutiny since higher levels of collaboration between authors can lead to more innovative and impactful results [22]. Using individual author-level data, we found a total of 2,833 authorships in the selected sample, which represents 4.2 authors per paper on average. Our results also denote that the number of authors per paper is growing. Fig. 3 depicts the distribution of authors per year across all research output.



Figure 3. Box plot showing the proportion of authors contributing to the intersectional domains of systems with human-AI components and collaboration between 2018 and 2022.

Drawing from the data, 40.42% of the papers were written by 3-4 authors and 20.06% by 5 or 6 authors. If we look to the literature, we find a similar pattern in fields like

materials science [7] (RQ2). Looking at the results, we also observed a higher number of co-authored papers (93.16%) than single-authored papers (6.84%). However, this authorlevel analysis reveals some different patterns compared to results from other studies with a broader scope on HCI and related communities (e.g., [21, 22]). Fig. 4 presents the proportion of papers at individual and collaborative levels.



Figure 4. Percentage of single- and co-authored papers during the period of analysis (2018-2022).

We also calculated the degree of collaboration and the collaboration index (*C-index*) to indicate strength of collaboration [1]. The degree of collaboration was 0.93 and the *C-index* was found to be 4.5 for the entire sample. If we draw comparisons with corresponding indicators in other research communities (RQ2), we observe a higher degree of collaboration in certain fields where initial R&D investments are huge (e.g., genetics [12]). Consistent with these insights, we believe that the degree of collaboration seen here may be interpreted as an indicator that these social ties will continue to shape the science and technology innovation landscape in this area for the coming years.

C. Alternative Metrics of Scientific Visibility

Despite being relatively new in academia, the use of altmetrics has attracted widespread attention from science analysts due to its ability to measure the visibility and impact of research outputs by capturing web-based metrics using social media and other online sources [39, 49]. Fig. 5 summarizes the altmetrics covered in the Dimensions database plus an additional couple of metrics retrieved from ResearchGate in line with previous evidence on possible correlations between altmetrics and citation counts [39].



Figure 5. Altmetric data distribution patterns over time.

These measures can be used to better capture the attention given to a paper regarding its diffusion paths on online channels. We can conclude from the results of our altmetric data analysis that there is a larger growth in citations in news outlets during the last year than in previous years, which may signify that the area has gained momentum in online news.

D. Funding

During the last decade, scientometrics has played an important role in revealing insights on funding patterns, and has helped research policy specialists to align their priorities through evidence-based indicators of high value [42]. Recent studies have also proved that there is a relationship between funding and citation counts [25]. In order to find the state of investment in science in the emerging area of human-AI systems in relation to collaboration (RQ3), we performed a simple search on GrantExplorer², a tool conceived to provide information about funded research by US federal agencies. As Fig. 6 shows, the area experienced an increase in the growth rate of funded research until 2021.



Figure 6. Human-AI grants funded by US federal agencies as captured by GrantExplorer on July 2022.

This only partially reflects the funding landscape in this field since it only captures US-funded research. To overcome this, we went back to our dataset and calculated the funding ratio (see Table I for details about the expressive growth rate of funded papers and supporting grants). By comparing the funding data provided in the Dimensions database, we were also able to calculate the total number of grants supported. The data presented in Table III shows the list of the largest contributors to funding in this area.

TABLE III. TOP-FUNDING INSTITUTIONS IN RELATION TO THE R&D GRANTS PROVIDED.



Among the highest funding institutions were the Directorate for Computer & Information Science & Engineering (40 grants) and National Natural Science Foundation of China (21 grants). We also observe a large investment from European funding bodies; the European Commission contributed to 19 publications and ranked third.

E. Keyword Analysis

The final part of our study comprises a keyword analysis on a total of 3,294 keywords provided by the authors in the selected sample (RQ1). The graph density of this keyword

² https://www.grantexplorer.org/

network was 0.504. This exercise conducted at the keyword level allowed us to observe emerging trends by identifying topics and thematic areas of growing interest, as reflected in a higher frequency of occurrence. This is shown in Table IV.

TABLE IV. KEYWORD DISTRIBUTION AND EMERGING THEMES.

#	Laval 1
#	LEVELI antificial intelligence (254) human AI called anti- (CA) human AI inter (CA)
	aruncial intelligence (234), numan-Al conaboration (64), numan-Al interaction (60),
	(26) callaboration (24) decision making (20) human-computer interaction
	(56), collaboration (24), decision-making (24), numan-centered AI (25),
	crowdsourcing (15), chaloots (15), user experience (14), numan-machine tearning (15),
	deep learning (12), heatincare (12), industry 4.0 (12), decision support (11), design (11), etc. (11) , button machine collaboration (11), transmortaneous (11), associativity (10)
15	(11), etnics (11), numan-machine conaboration (11), transparency (11), creativity (10),
>5	explainability (10), future of work (10), numan-Al teaming (10), interpretability (10), human in the loop (10) clearithms (0), human recourse management (8), human
	numan-in-the-loop (10), algorithms (9), numan resource management (8), numan
	intelligence (7), augmentation (7), big data (7), natural language processing (7), collective
	intelligence (7), conversational agent (7), creativity support tools (7), etnical AI (7),
	numan-AI teams (7), collaborative AI (6), communication (6), data science (6),
	decision support systems (6), design science research (6), reinforcement learning (6),
	responsible AI (6), numan-autonomy teaming (6), theory of mind (6), visualization (6)
#	Level 2
	active learning (5), AI literacy (5), augmented intelligence (5), automation (5),
	autonomous agents (5), framework (5), human intelligence (5), human resources (5),
	numan-agent interaction (5), numan-agent teaming (5), numan-centered computing
	(5), human-robot interaction (5), hybrid intelligence (5), interaction design (5), user
	studies (5), responsibility (5), clinical decision support systems (5), uncertainty (5),
<=5	socio-technical systems (3), user-centered design (3), agency (4), Al chaloots (4), Al
and	ethics (4), algorithmic decision-making (4), augmented reality (4), AutoML (4),
>3	autonomy (4), co-creation (4), co-creativity (4), collaborative learning (4),
	conversational AI (4), COVID-19 (4), customer service (4), digitalization (4),
	machine symplectic (4), human-AI (4), human-AI (4), human-AI (4), human-
	internation (4), numerical contraction (4), interingent systems (4),
	netrature review (4), participatory design (4), personalization (4), sensemaking (4),
	(4), human-centered (4), recommender systems (4), neural networks (4)
ш	(7), numan-contered (4), recommender systems (4), neural networks (4)
#	
	anordances (3), At education (3), child welfare (3), co-design (3), cognition (3),
	cognitive bias (3), collaborative design (3), collaborative intelligence (3), competition (2), accordination (2), accordination (3), accordination (3), the second state (3), t
	(5), coordination (5), creativity support (5), data (3), data analytics (5), digital
	(2) human AL systems (2), human machine contractive (2) human-AI cooperation
	(3), numan-AI systems (3), numan-machine communication (3), human-machine
	(2) individual differences (2) informal learning (2) interaction (3), implementation (3), india
3	(3), individual differences (3), informal learning (3), interactive machine learning (3),
	interactivity (3), knowledge graphs (3), machine neuristic (3), management (3),
	initiation (2), medical AI (3), systematic review (3), mental models (3), mixed-
	initiative (3), negotiation (3), online learning (3), predictive maintenance (3),
	qualitative research (3), research agenda (3), service robots (3), sketching (3), social model (2), asfruere development (2) task ellegation (2) task added as a service robots (3), servi
	technology eccenteres (2) thematic analysis (2) trust in outemation (3),
	technology acceptance (3), thematic analysis (3), trust in automation (3), (3)
	Level 4
#	Level 4
	acceptance (7) accessibility (7) accountable $\Delta I(7)$ action prediction (7) action
	(2), account (2
	understanding (2), adaptation (2), adaptive automation (2), adoption (2), affective understanding (2), adaptation (2), adaptive automation (2), adoptive (2), adaptive (2)
	understanding (2), adaptation (2), adaptive automation (2), adoption (2), affective computing (2), Africa (2), agents (2), AI aesthetics (2), AI applications (2), AI applications (2), AI
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	understanding (2), adaptation (2), adaptive automation (12), adoption (2), adoption (2), affective computing (2), Africa (2), agents (2), AI aesthetics (2), AI applications (2), AI capabilities (2), AI in education (2), AI policy (2), AI-human collaboration (2), AI- infused systems (2), AI-mediated communication (2), algorithm appreciation (2), algorithmic fairness (2), algorithmic management (2), anoforing hiss (2), anotation
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	understanding (2), adaptation (2), adaptive automatic in (2), activity adoption (2), affective computing (2), Africa (2), agents (2), AI aesthetics (2), AI applications (2), AI capabilities (2), AI in education (2), AI policy (2), AI-human collaboration (2), AI- infused systems (2), AI-mediated communication (2), algorithm appreciation (2), algorithmic fairness (2), algorithmic management (2), anchoring bias (2), annotation schedule (2), anthropomorphism (2), architecture (2), artificial social intelligence (2), AutoAI (2), bias (2), breast cancer (2), causability (2), chess (2), children (2), China (2), clinical decision support (2), coccreative system (2), code
	understanding (2), adaptation (2), adaptive automation (2), adoption (2), affective computing (2), Africa (2), agents (2), AI aesthetics (2), AI applications (2), AI capabilities (2), AI in education (2), AI policy (2), AI-human collaboration (2), AI- infused systems (2), AI-mediated communication (2), algorithm appreciation (2), algorithmic fairness (2), algorithmic management (2), anchoring bias (2), annotation schedule (2), anthropomorphism (2), architecture (2), artificial social intelligence (2), AutoAI (2), bias (2), breast cancer (2), causability (2), chess (2), children (2), China (2), clinical decision support (2), co-creative (2), computer vision (2) translation (2), collaborative systems (2), competence (2), computer vision (2)
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Not surprisingly, artificial intelligence is mentioned in the largest number of works, followed by a vast set of human-AI/human-machine combinatorial approaches. While the three 'Cs' [32] of communication, collaboration and coordination are highlighted in our analysis, there are other thematic areas that just as, if not more, dominant. Collaboration in relation to human-AI systems also foregrounds explainability (e.g., [44]), trust (e.g., [10, 29, 43]), and collaborative decision-making (e.g., [16, 31]). We also observe that crowdsourcing persists as a focus for human-AI interaction [41], while other recent investigations have applied knowledge graphs [27] and generative models [33] as their main approaches. As illustrated in Table IV, most of the topics identified overlap with other subjects and more research is needed to get a detailed view of the keyword co-occurrence network in this field.

IV. CONCLUSION AND FUTURE PERSPECTIVES

This work represents a first step in understanding the nascent area of human-AI systems in relation to collaboration, by presenting a timely bibliometric snapshot of its current knowledge structure and indicating which topics are receiving more attention. The findings may be used to inform decision-makers about possible future areas of research. We are still at the early stages of understanding the complex network of accumulated scientific knowledge that is growing every year, and further examinations are planned to explore other approaches like the author-topic model and the social systems citation theory. There is also the possibility of examining authorship data at the institutional level as well as information about return on investment in terms of funding amount and resulting papers. From an author characteristics' perspective, the analysis of aspects like gender equity in terms of distribution and funding in this particular area can make researchers and policy-makers worldwide more aware of the current disparities and imbalances and thus restructure their programs to be more inclusive and diverse.

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