



Microsoft Research

Faculty  
Summit

**2014** 15<sup>TH</sup> ANNUAL



Microsoft Research

# Faculty Summit

**2014** 15<sup>TH</sup> ANNUAL

## Selection at the HIV Transmission Bottleneck

Jonathan Carlson, Microsoft Research



# HIV research at MSR

## People

David Heckerman

Nebojsa Jojic

Andrew Philips

Neil Daschau

Carl Kadie

Jennifer Listgarten

Nicolo Fusi

## Research

Applying statistics and computation to better understand disease

10 yrs, >75 papers published in the HIV field



### RESEARCH ARTICLE

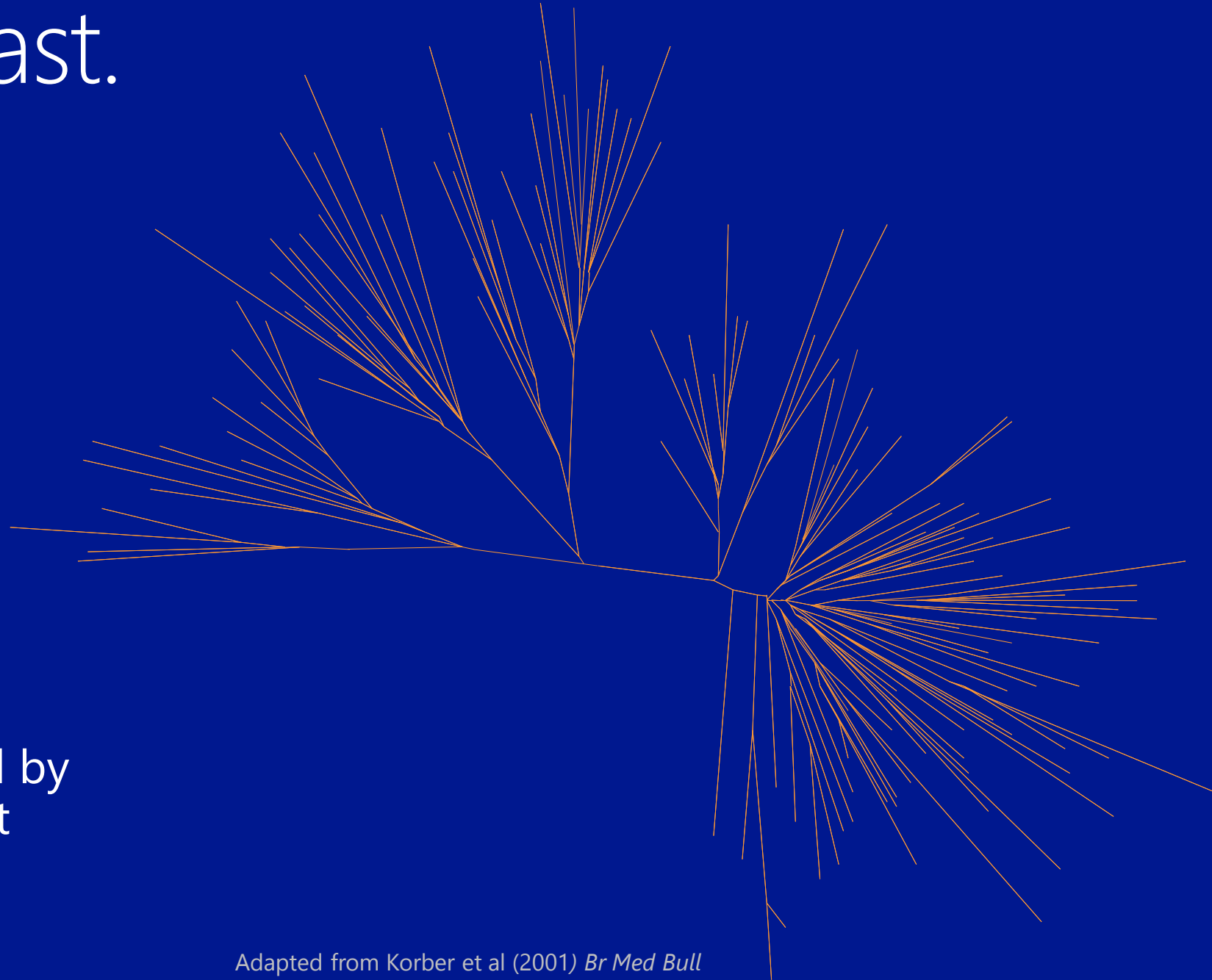
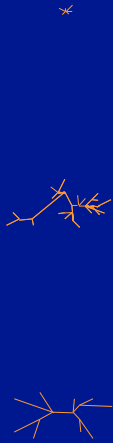
#### HIV TRANSMISSION

## Selection bias at the heterosexual HIV-1 transmission bottleneck

Jonathan M. Carlson,<sup>1,\*,†</sup> Malinda Schaefer,<sup>2,†</sup> Daniela C. Monaco,<sup>2</sup> Rebecca Batorsky,<sup>3</sup> Daniel T. Claiborne,<sup>2</sup> Jessica Prince,<sup>2</sup> Martin J. Deymier,<sup>2</sup> Zachary S. Ende,<sup>2</sup> Nichole R. Klatt,<sup>2,‡</sup> Charles E. DeZiel,<sup>1</sup> Tien-Ho Lin,<sup>1,§</sup> Jian Peng,<sup>1,¶</sup> Aaron M. Seese,<sup>3</sup> Roger Shapiro,<sup>4</sup> John Frater,<sup>5,6,7</sup> Thumbi Ndung'u,<sup>3,8,9,10</sup> Jianming Tang,<sup>11</sup> Paul Goepfert,<sup>11</sup> Jill Gilmour,<sup>12,13</sup> Matt A. Price,<sup>14,15</sup> William Kilembe,<sup>16</sup> David Heckerman,<sup>17</sup> Philip J. R. Goulder,<sup>8,18</sup> Todd M. Allen,<sup>3</sup> Susan Allen,<sup>16,19,20</sup> Eric Hunter<sup>2,16,19,\*</sup>

July 11, 2014

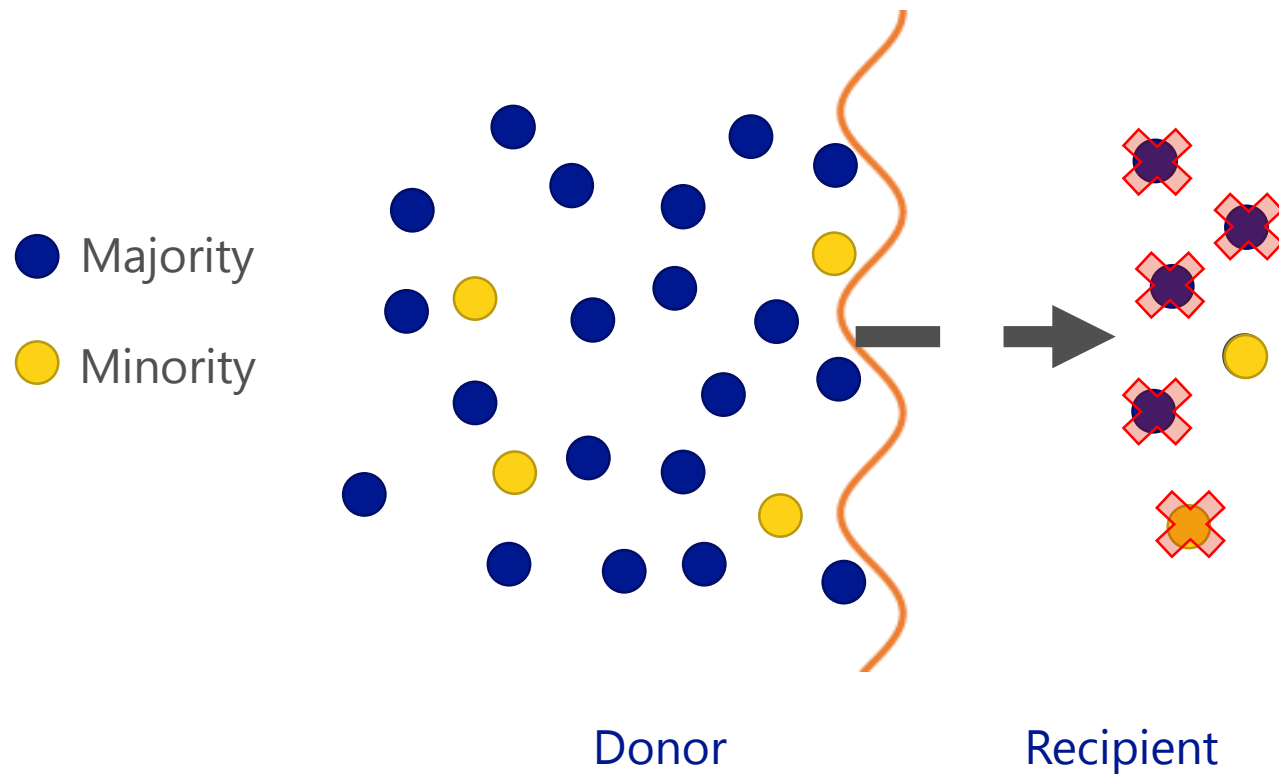
# HIV Mutates. Fast.



Infection is established by a single genetic variant

Adapted from Korber et al (2001) *Br Med Bull*

# The transmission bottleneck



## Transmission is inefficient

1/300 exposures result in infection  
Women are at 2x risk relative to men  
Men with STI's are at 2x risk

## Hypothesis:

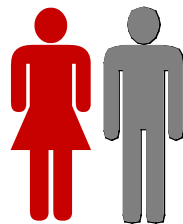
Could it be that many viruses make it across, but the replication rate is terminally low?





Susan Allen

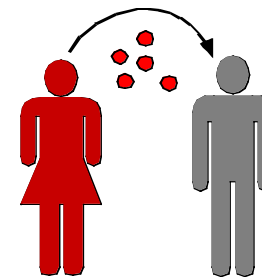
N > 1,000



Couple is identified as HIV serodiscordant

Couples counseling and condoms reduce transmissions by 2/3

HIV negative partner is tested once per month



N = 137

HIV negative partner seroconverts

Plasma collected from Donor and Recipient, median 45 days post estimated infection

HIV sequencing of both partners



Eric Hunter

# Estimating fitness with statistical power

Donor

99.8%  
identity

Recipient

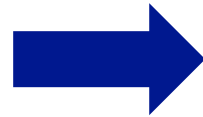
ID1	...EPRGSDIAATTSNLQEQIGWMTSNPPIPV...	...EPRGSDIAGTTSNLQEQIGWMTSNPPIPV...
ID2	...DPRGSDIAGTTSNLQEQIGWMTNNPPIPV...	...DPRGSDIAGTTSTLQEQIGWMTNNPPIPV...
ID3	...EPRLSDIAGTTSNLQEQIGWMTNNPPIPV...	...EPRGSDIAGTTSNLQEQIGWMTNNPPIPV...
ID4	...EPRGSEIAGTTSTLQEQIAWMTNNPPIPV...	...EPRGSEIAGTTSTLQEQIGWMTNNPPIPV...
ID5	...EPRLSDIAGTTSNLQEQIGWMTNNPPIPV...	...EPRLSDIAGTTSNLQEQIGWMTNNPPIPV...
ID6	...EPRGSDIAGTTSTLQDQIGWMTNNPPIPV...	...EPRGSDIAGTTSTLQEQIGWMTNNPPIPV...
ID7	...DPRGSDIAGTTSNLQEQIAWMTNPPVPV...	...DPRGSDIAGTTSNLQEQIAWMTNPPVPV...
ID8	...EPRLSEIAGTTSTLQEQITWMTNNPPIPV...	...EPRLSEIAGTTSTLQEQITWMTNNPPIPV...

1712

137

# Estimating fitness with statistical power

	D	R	T
ID1, pos1	E	E	1
ID1, pos2	P	P	1
...	...	...	...
ID2, pos11	N	T	0
ID2, pos12	S	S	1
ID2, pos13	T	T	1
...	...	...	...
ID8, pos1711	P	P	1
ID8, pos1712	V	V	1



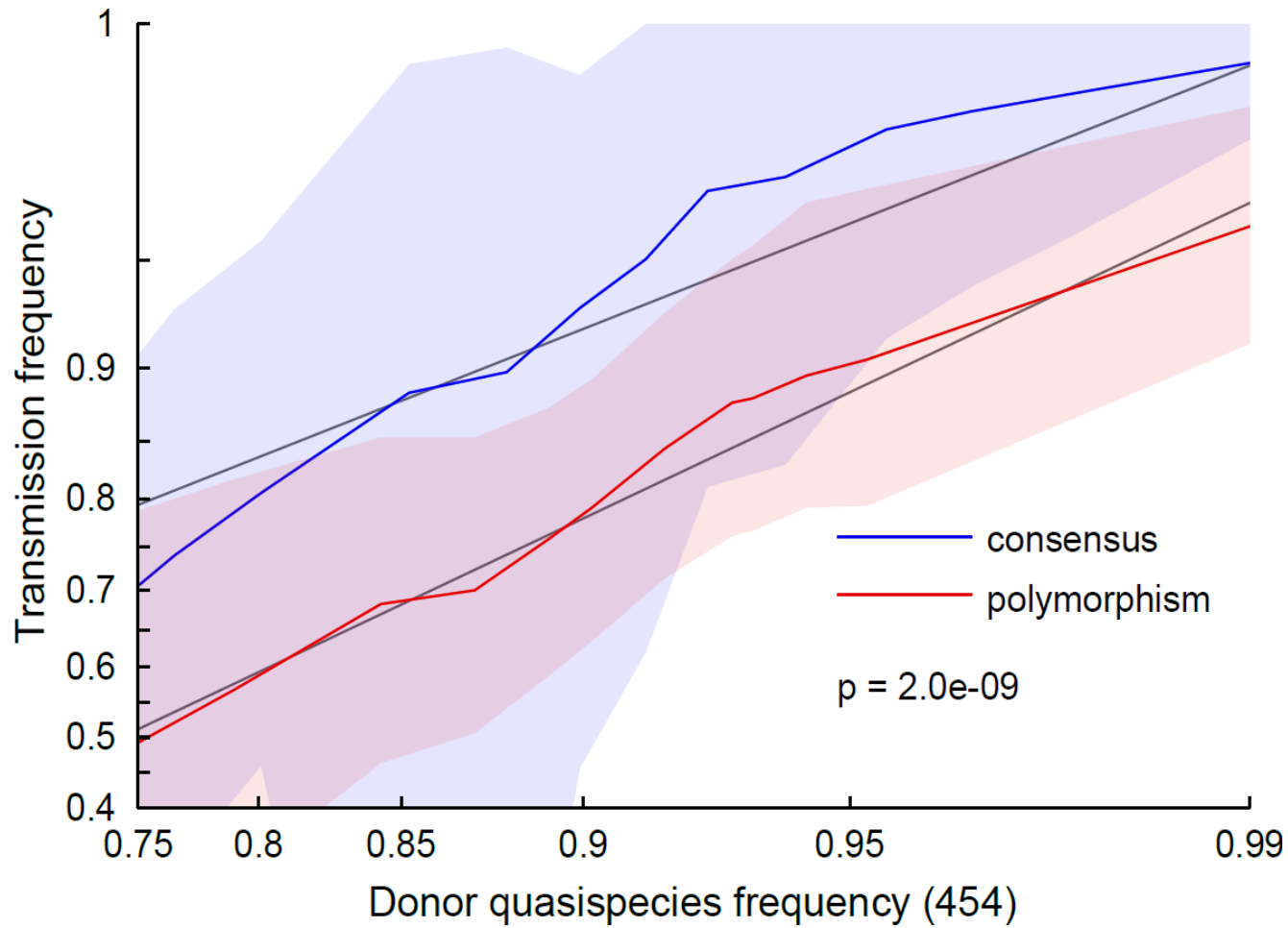
## Approach

$$\frac{\Pr(T = 1)}{\Pr(T = 0)} \approx \frac{f_{AA}}{1 - f_{AA}} \times \frac{p_{AA}}{p_{\overline{AA}}}$$

$$\text{logodds}(T = 1) \approx \text{logodds}(f_{AA}) + \text{bias}_{AA}$$

N=228,362

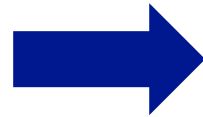




Consensus :=  
observed in  $\geq 50\%$   
of Zambian HIV+  
individuals

# Estimating fitness with statistical power

	D	R	T
ID1, pos1	E	E	1
ID1, pos2	P	P	1
...	...	...	...
ID2, pos11	N	T	0
ID2, pos12	S	S	1
ID2, pos13	T	T	1
...	...	...	...
ID8, pos1711	P	P	1
ID8, pos1712	V	V	1



## Approach

$$\frac{\Pr(T = 1)}{\Pr(T = 0)} \approx \frac{f_{AA}}{1 - f_{AA}} \times \frac{p_{AA}}{p_{\overline{AA}}}$$

$$\text{logodds}(T = 1) \approx \text{logodds}(f_{AA}) + \text{bias}_{AA}$$

Estimate probability of transmission using a generalized linear mixed model

N=228,362

# A fitness bias

## AA features related to fitness

Conservation

Predicted impact on structure

Interaction network properties

Relationship to immune escape

# A fitness bias

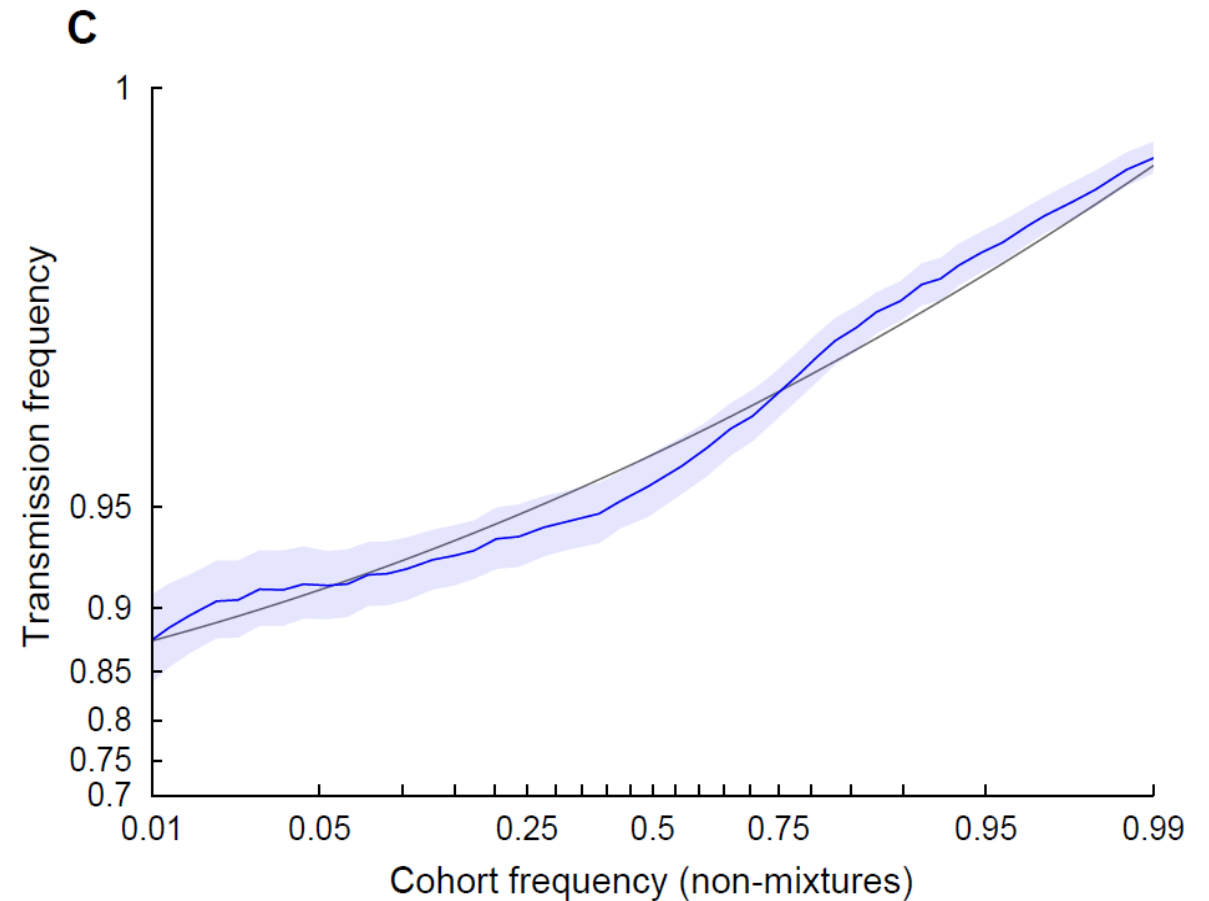
## AA features related to fitness

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# A fitness bias

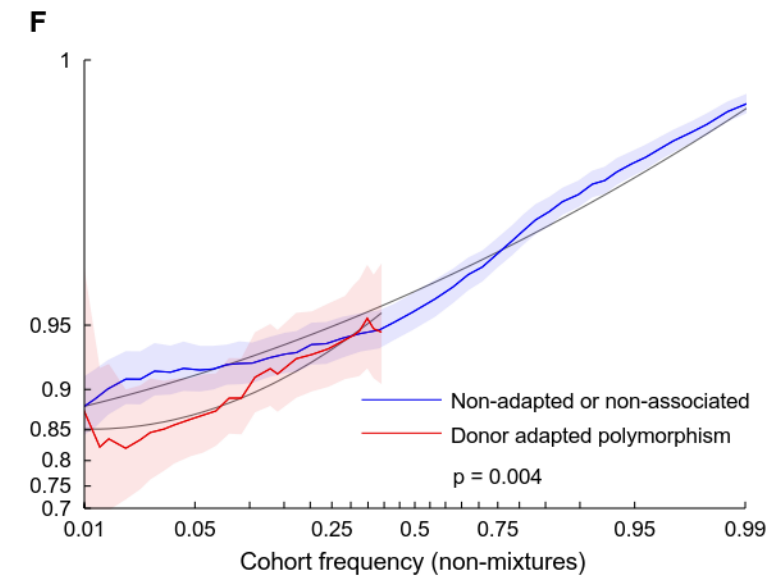
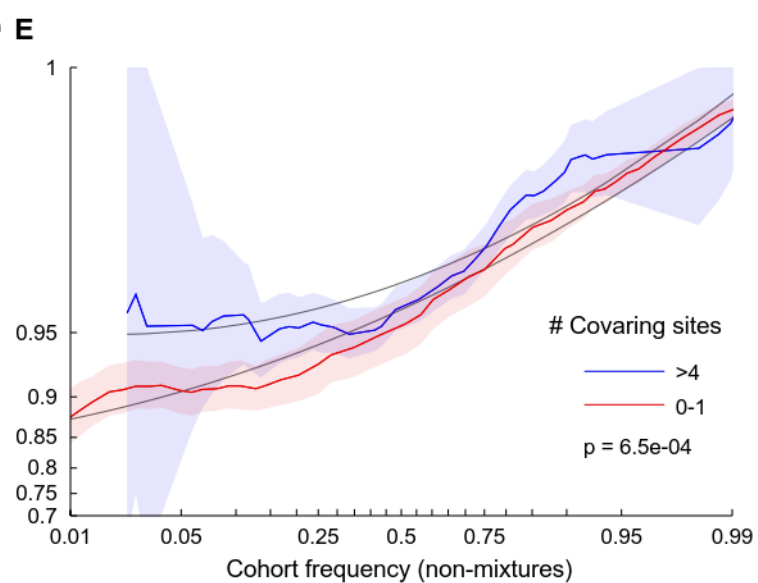
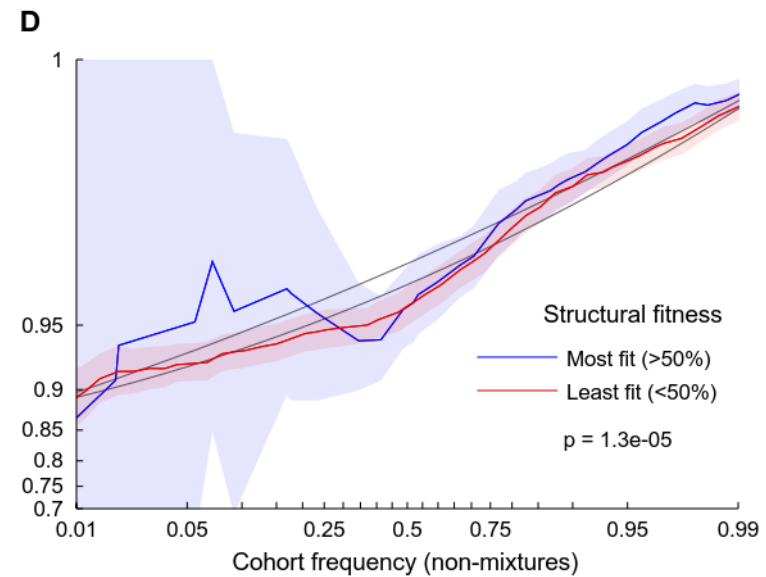
## AA features related to fitness

Conservation

**Predicted impact on structure**

**Interaction network properties**

**Relationship to immune escape**



High-fitness amino acids are more likely to be transmitted

What about whole virus sequences?

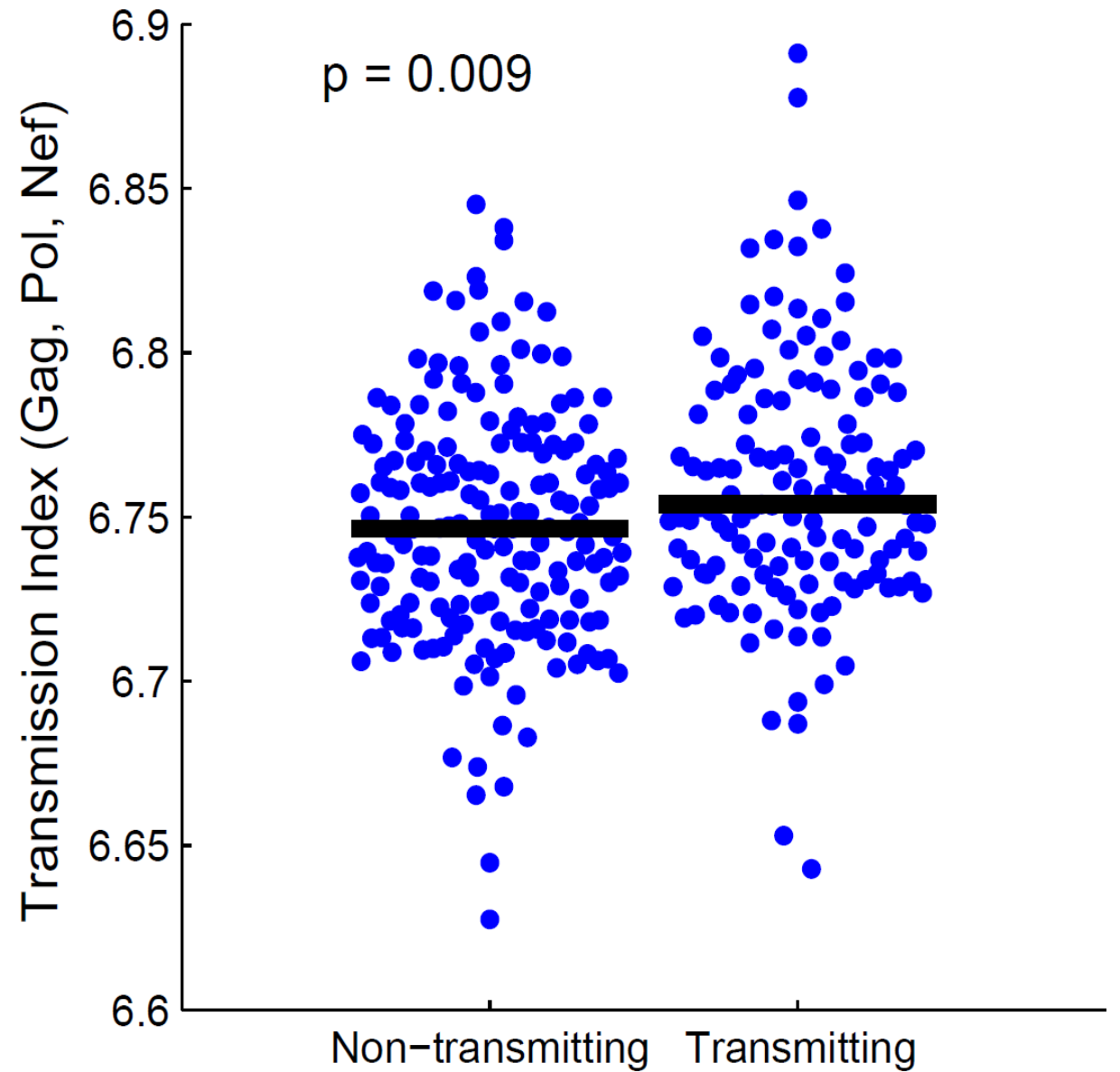


# Transmission Index

	D	R		T	Ln-odds (T)		Transmission Index
ID1, pos1	E	E	➔	1	6.9	}	7.1
ID1, pos2	P	P		1	7.2		
...	...	...		...	...	...	...
ID2, pos11	N	T		0	4.6	}	6.3
ID2, pos12	S	S		1	5.3		
ID2, pos13	T	T		1	6.8		
...	...	...		...	...	...	...
ID8, pos1711	P	P		1	8.3	}	6.8
ID8, pos1712	V	V	1	6.3			



Individuals with weak viral populations are less likely to transmit to their partners



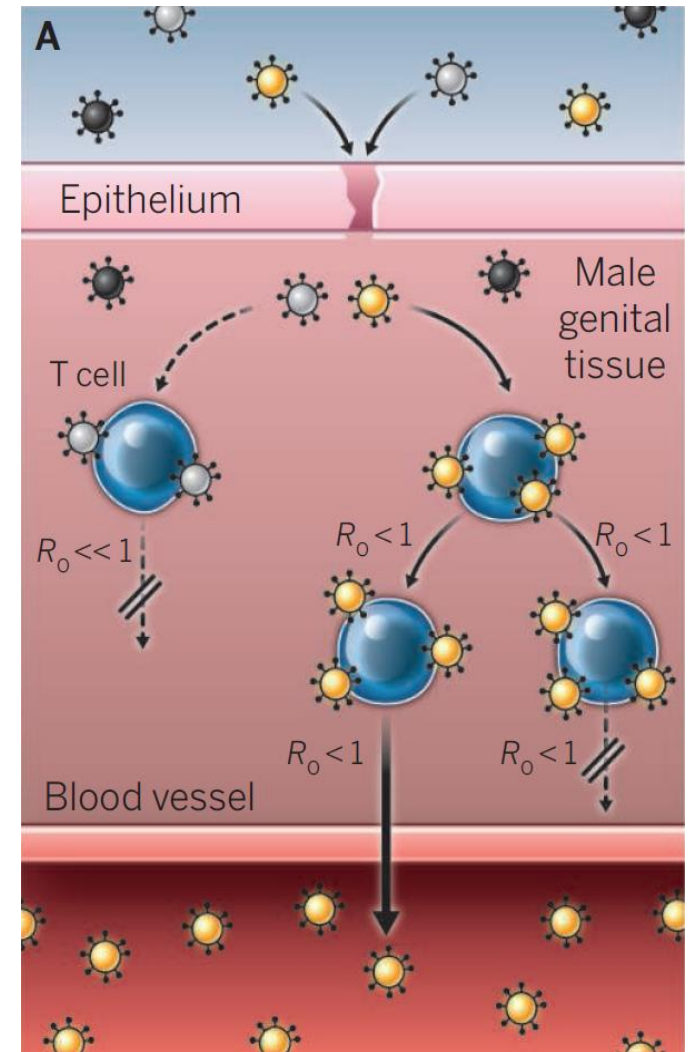
# A new model of transmission

## Frequent non-productive infection

Provides a window of opportunity for drugs and vaccines

## What about risk factors?

$$\frac{\Pr(T = 1)}{\Pr(T = 0)} \approx \frac{f_{AA}}{1 - f_{AA}} \times \frac{p_{AA} + c}{p_{\overline{AA}} + c} \quad \xrightarrow{c \rightarrow \infty} \quad \frac{\Pr(T = 1)}{\Pr(T = 0)} \approx \frac{f_{AA}}{1 - f_{AA}}$$



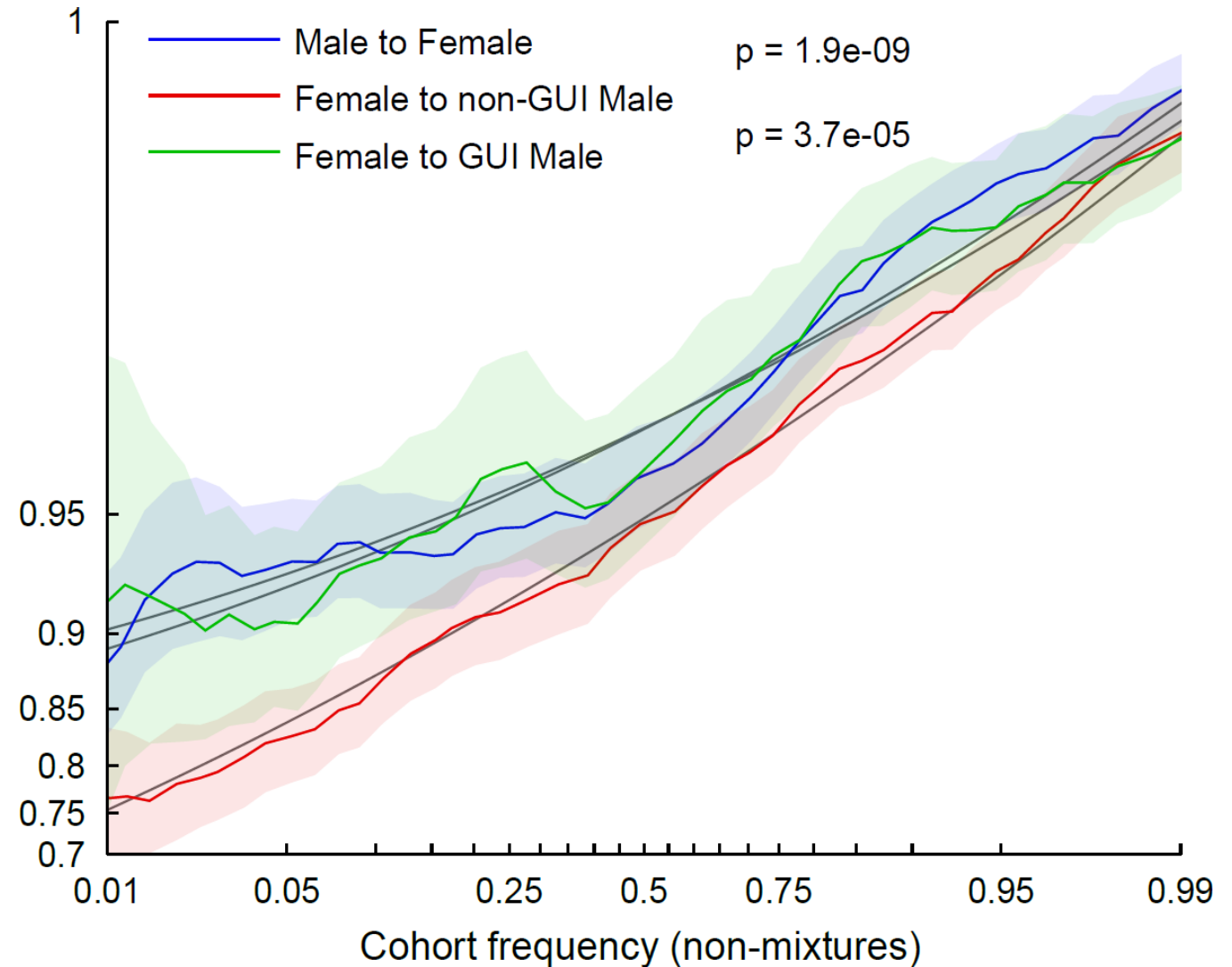
Joseph & Swanstrom,  
*Science* 2014

# Men vs Women

## Stronger bias in men

Less likely to be infected

More likely to be infected with a strong virus



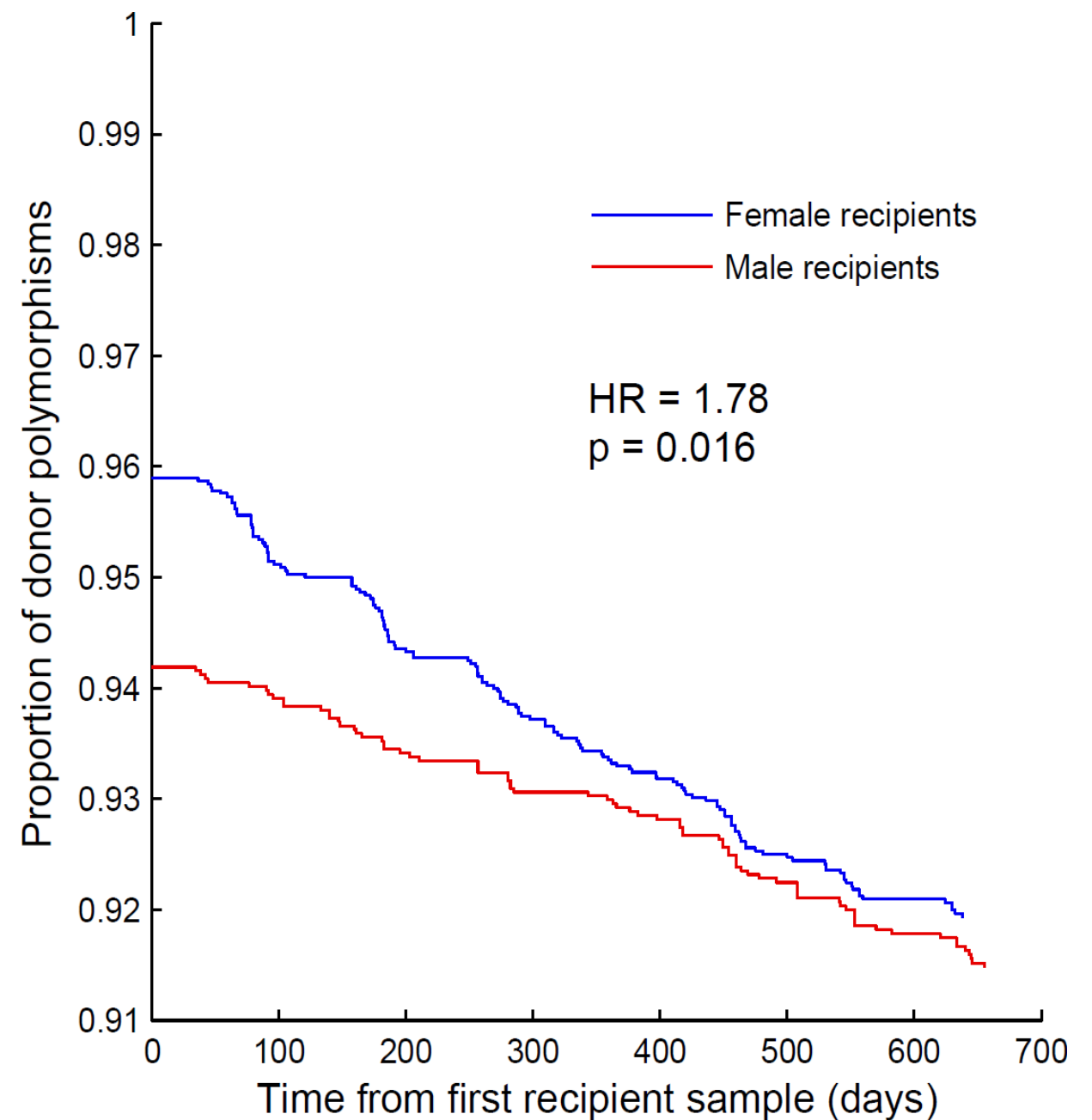
# Men vs Women

## Stronger bias in men

Less likely to be infected

More likely to be infected with a strong virus

More evolutionary pressure on women's virus





# Men vs Women

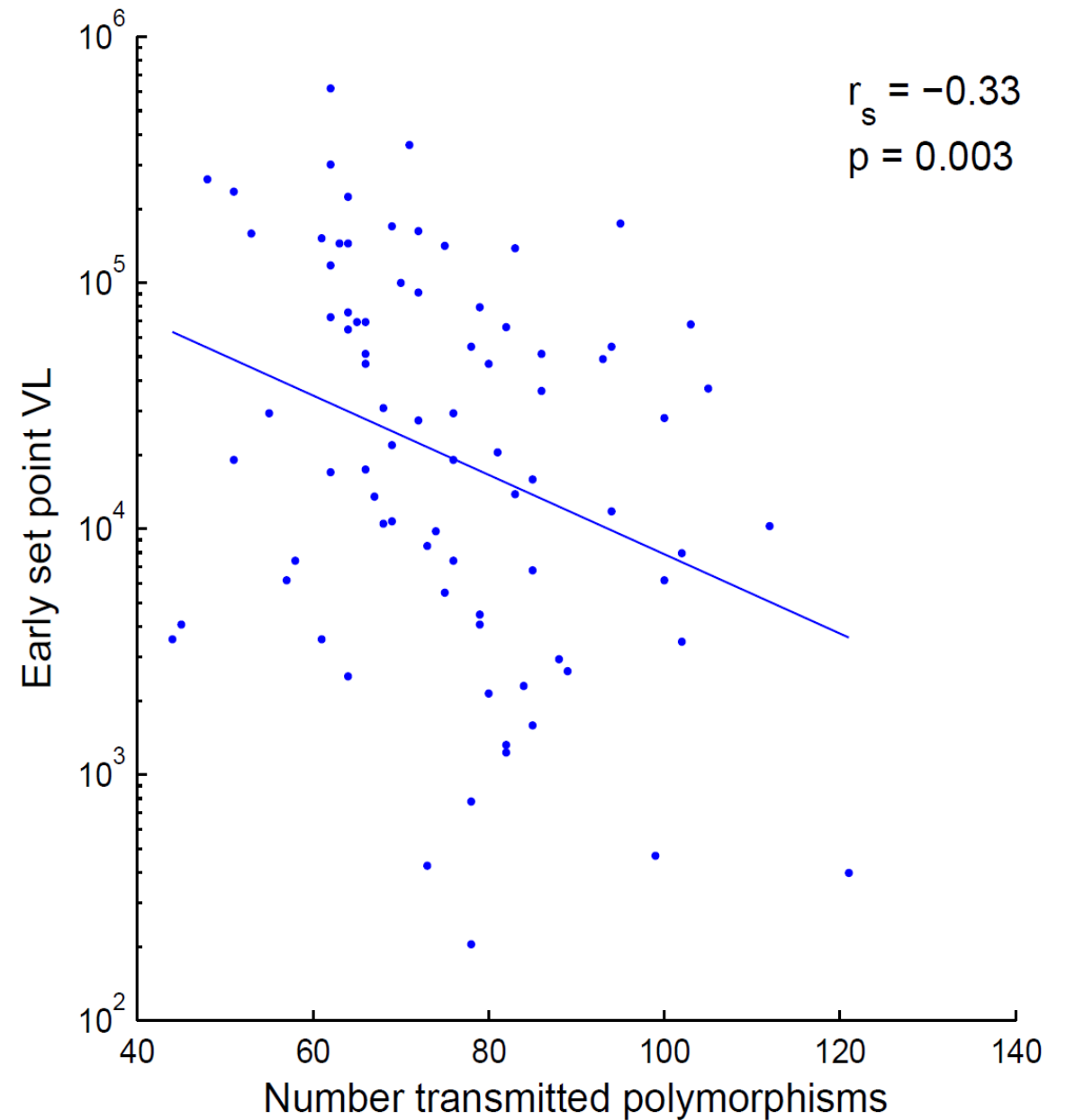
## Stronger bias in men

Less likely to be infected

More likely to be infected with a strong virus

More evolutionary pressure on women's virus

Stronger transmitted viruses lead to more severe disease



*A paradigm:*

Low biological risk leads to lower odds of infection,  
but more severe disease when infection happens

# Summary

## Fitness bottleneck at transmission

Features consistent with viral fitness predict transmission

Viral sequences and populations with high predicted fitness are more likely to establish infection

## Risk factors mitigate the fitness bottleneck

A mechanism for increased risk is a reduction in the fitness bottleneck

Possibly due to more target cells, or higher activation state of target cells

## Clinical consequences

Anything that weakens the virus will reduce transmission rates

Vaccines and drugs that protect individuals from transmission may lead to more severe disease when breakthrough infection occurs

# Acknowledgments

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Mubiana Inambao

Staff & study participants

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Henry Lin

Jian Peng

Charlie DeZiel

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Thumbi Ndungu, U KwaZulu Natal

Roger Shapiro, Beth Israel Deaconess MC

James Tang & Paul Goepfert, UAB



Save the planet and return  
your name badge before you  
leave (on Tuesday)

